Vince Wortman

In September 2012, Vince Wortman moved from Content Integration to Metadata Cataloging Services as the new head cataloger, which means that he will no longer be the Public Services Liaison to MOUG. But because he will be doing actual music cataloging now, he tells me he intends to join MOUG on his own and may try to attend conferences.

OCLC RDA Policy Effective March 31, 2013

OCLC is pleased to announce that a new policy statement about RDA records in WorldCat is now available as part of the RDA pages on the OCLC website (http://www.oclc.org/us/en/rda/new-policy.htm). This new policy becomes effective on March 31, 2013. The current policy (http://www.oclc.org/us/en/rda/old-policy.htm), which has been in effect since the beginning of the U.S. National Libraries testing, will remain in effect until that date. This policy statement grew out of a discussion paper, *Incorporating RDA Practices into WorldCat*, (http://www.oclc.org/us/en/rda/discussion.htm) and the many comments received from member libraries in response to that paper. OCLC staff are grateful for those comments. OCLC also acknowledges the work of a number of task groups of the Program for Cooperative Cataloging, whose discussions of RDA practices have also influenced this policy statement. Questions about the policy may be submitted to rdapolicy@oclc.org.

Phase Two Changes to the LC/NACO Authority File for RDA

The Program for Cooperative Cataloging Acceptable Headings Implementation Task Group (PCCAHITG) has finished testing the Phase 2 changes to the LC/NACO Authority File in the context of the adoption of RDA. This message is intended as a notification that the Phase 2 changes are currently targeted to begin no earlier than March 4th, 2013.

The testing has identified nearly 400,000 name authority records that are candidates for change. As with the Phase 1 change completed in the summer of 2012 (see http://www.loc.gov/cds/notices/120717.pdf), the records will be adjusted in the LC database and distributed to the NACO nodes and other CDS customers. LC will update 30,000 records per day, Monday thru Friday, until the changes are completed (the final day of day of distribution may contain fewer than 30,000 records). These updated records are in addition to the regular distribution of LC/NACO transactions.

As a reminder, the Phase Two changes will consist of the actual programmatic changes to the 1XX headings that are not acceptable under RDA (e.g., changes to Bible headings, spelling out Dept. and months, etc., in the subfield $d for personal names). A document: Summary of Programmatic Changes to the LC/NACO Authority File is available at: http://www.loc.gov/aba/rda/pdf/lcnaf_rdaphase.pdf. Please be aware that although the programming was very successful, a handful of anomalies was observed, mainly because there are NARs that contain irregular data elements to begin with (the logic assumes good data and does what it can when confronted with anomalies); you may report unexpected anomalies in name authority records to policy@loc.gov for correction.

EFFECTS ON OCLC CATALOGING USERS AND NACO PARTICIPANTS WHO CONTRIBUTE THROUGH OCLC

Authority Record Loading: OCLC will continue to load the LC files the day following their distribution, resulting in records being loaded Tuesday thru Saturday.

Effects on Bibliographic Records: Controlled headings in WorldCat bibliographic records will be updated as the authority records are loaded. Once Phase 2 is completed, LC's Policy and Standards Division will undertake a project to programmatically synchronize the access points in LC's bibliographic records with those changed in the LC/NAF. In the interim please do not send reports for bibliographic file maintenance to LC. As noted in the recently released OCLC RDA Policy Statement (http://www.oclc.org/us/en/rda/new-policy.htm), OCLC staff are working on plans to make similar changes to records in WorldCat.

RSS Feeds: Every effort will be made to sustain the RSS feed, Closed Dates in Authority Records, during this project; should the report be rendered unavailable messages will be distributed to appropriate discussion lists and reinstated as quickly as possible following the completion of the project.

Any changes to this schedule will be posted to appropriate discussion lists.

Changes to Authorities Indexing, November 2012

As part of the Connexion install on Sunday, November 4, 2012, changes have been made to authorities indexing. These changes include **the resolution of the longstanding problem that resulted in misleading subfield codes: "Occasionally, a heading appears in browse results with a subfield code that is misleading. However, when you view the record, the subfield code that appears in the results list is not present in the record.** An example of this is browsing the LCSH index for the heading Noah's Ark. In the root index, the heading is presented as $t Noah's Ark; however, when you view the record, the heading Noah's Ark appears in $a, not $t. There is only a single subject authority record for Noah's Ark, in which the heading appears only in $a (LCCN sh 85092133). But the text Noah's ark appears in $t in 9 other authority records." However, as part of the new indexing, **users will find a change in the appearance of headings in a browse list when the ending punctuation of the heading is different.** This change to indexing results in multiple listings:

> ROOT:
>
>> Poe, Edgar Allan, $d 1809-1849   1 record
>>
>> Poe, Edgar Allan, $d 1809-1849.   2 records
>>
>> Poe, Edgar Allan, $d 1809-1849 $c (Spirit)   1 record
>
> EXPANDED:
>
>> Poe, Edgar Allan, $d 1809-1849
>>
>> E1.[100]   1 record

E2.[700]	1 record
E3.$t Fall of the house of Usher [500]	1 record
E4.$t Gold bug [100]	1 record

In the Expanded list, both the [100] and [700] relate to the first record in the Root list, and E3 and E4, that have a period prior to the $t are represented in the second entry in the root list that contains a period at the end of the $a. Staffs are continuing to investigate options to resolve this problem without removing other marks of punctuation including hyphens associated with open dates for personal names, closing parentheses, etc.

Four new indexes have been implemented as part of the changes to authorities indexing:

cs: Cataloging source (indexes 040, $a, $c, $d).

dx: Descriptive rules (indexes 040, $e).

nt: Notes (includes all 6XX fields).

kw: Keyword (includes all variable fields).

The indexes are not currently available in the dropdown list for either the Connexion Client or Browser; they can be entered directly into the command line in the Authorities search dialog intake box. Additional information on command line searching can be found on page 7 of the document: http://www.oclc.org/support/documentation/connexion/browser/authorities/find_auth_records/find_auth_records_pdf.pdf. Please contact OCLC-Support at support@oclc.org with any questions or concerns related to this announcement.

New CIP Upgrade Functionality Added to the Expert Community (November 2012)

OCLC is pleased to announce to our cataloging members that additional functionality has been added to the Expert Community to enable upgrading of Cataloging in Publication (CIP) records by member libraries, even when they are coded "pcc" in the 042 field. OCLC has previously excluded all records that were coded as being Program for Cooperative Cataloging (PCC BIBCO records) from Expert Community replaces. Library of Congress CIP records (DLC Encoding Level 8 records) were not being coded as "pcc" at the time the Expert Community began, but are currently routinely coded in this manner. Not being able to permanently upgrade master records in WorldCat for LC CIP has long been a source of frustration for catalogers. OCLC has heard this frustration and is responding by adding new functionality to enable upgrading of CIP. Records coded as "pcc" with other encoding levels continue to be excluded from Expert Community replaces. **Beginning on November 5, 2012, catalogers using full level (or higher) OCLC cataloging authorizations are able to edit/upgrade all fields in LC CIP records that may be edited in other non-pcc master records with one exception. That exception is that the Encoding Level coding may not be changed. It will remain "8" until an official CIP upgrade is loaded to WorldCat from LC, from a CIP upgrade partner, or is changed by an institution with National Level Enhance authorization. The entire record may be upgraded as needed, including description and subject**

cataloging; only the Encoding Level may not be changed. When upgrading a CIP record, never remove correct and accurate information from a master record simply because your institution does not find it useful. This includes LC or Dewey Decimal classification numbers, LC or other subject headings, or other useful fields such as summaries or table of contents information. Using a full level authorization, catalogers may lock, edit, and then replace the LC CIP records when using Connexion Browser or Client. When using the Client, catalogers may just edit and replace without the first step of "lock" if desired, to upgrade LC CIP. OCLC suggests that libraries wishing to upgrade CIP view OCLC's CIP upgrade specifications linked off this page: http://www.oclc.org/us/en/worldcat/catalog/quality/cip/default.htm. For further information on the Expert Community see: http://www.oclc.org/us/en/worldcat/catalog/quality/expert/default.htm. If you have any questions, please direct them to askqc@oclc.org.

OCLC-MARC Bibliographic, Authority, and Holdings Formats Update 2013

Later this year, OCLC will implement the changes related to the OCLC-MARC Bibliographic, Authority, and Holdings Formats Update 2013, which will be detailed in an OCLC Technical Bulletin that will be made available a few weeks before the installation. This installation will include MARC 21 Update No. 15 (dated September 2012), code list additions and changes published chiefly since May 2012, and other suggestions from WorldCat users and OCLC staff. Many of these elements, including those from MARC 21 Update No. 15, are related to Resource Description and Access (RDA). Among the points of interest:

- In Bibliographic Scores 008/20 and 006/03 (FMus), existing codes "c" (Accompaniment reduced for keyboard), "d" (Voice score with accompaniment), and "h" (Chorus score) are being "redescribed" and a new code "k" for "Vocal score" is being defined.

- In Bibliographic field 024, both old-style (ten-character) ISMNs and new-style (thirteen-character) ISMNs will be able to be input and coded correctly.

- In Bibliographic field 028, new subfield $q for "Qualifying information" is being validated.

- In Bibliographic field 511, long-obsolete First Indicators blank, 2, and 3 will be converted and invalidated.

- New Bibliographic, Authority, and Holdings fields 883 for "Machine-Generated Metadata Provenance" will be validated.

- Authority field 368 (Other Attributes of Person or Corporate Body) has been renamed, existing subfield $c (Other designation) has been redefined, and new subfields $d (Title of person), $s (Start period), $t (End period), $u (Uniform Resource Identifier), and $v (Source of information) will be validated.

- New indexes will be implemented, including several involving Dewey Decimal Classification data in Bibliographic fields 082, 083, and 085; several to account for recently-implemented subfields in Bibliographic field 502 (Dissertation Note); and an Action Note Authorization

index for both Bibliographic and Holdings fields 583 subfield $f. There will also be some additions to various existing indexes.

Credits and Incentives

Between May 2010 and June 2011, the OCLC Global Council Cost Sharing Models Task Force investigated cost sharing models that could sustain the OCLC cooperative into the future. The task force published its final report in June, 2011 (http://www.oclc.org/us/en/councils/documents/csmtf-final-6-3-2011.pdf). The report and other resources, including the December 2012 "OCLC Credit & Incentive Program Discussion" Webinar can be found on the OCLC Website under Global Council Resources (http://www.oclc.org/us/en/membership/resources/default.htm). Among the task force recommendations were the re-establishment of a Global Council Finance Committee and the creation of a Global Council Advisory Group to study the current system of financial credits and to create an updated Incentives Program. Such an analysis should take into account the original purpose of credits, cost of administering the system, potential for providing incentives for new or different activities, applicability across regions, and provide projections of financial impact on OCLC and members. Global Council should discuss these findings and provide feedback on any potential changes prior to implementation.

In light of this recommendation, Global Council established an Advisory Group on Incentives, made up of representatives of 12 member libraries. The aim of the Advisory Group is to gather input and feedback from OCLC members via regional meetings and focus groups. The group aims to provide a recommendation to Global Council and OCLC management by the April 2013 Global Council meeting. For those who are interested, there will be an "OCLC Credits and Incentives Update" at today's OCLC Americas Regional Council Member Meeting starting at 11:00 a.m. in the Red Lion Hotel on Fifth Avenue, Emerald Ballroom. As usual, the presentation will be made available online afterwards at http://www.oclc.org/news/events/presentations/default.htm, where you can find several of the similar recent reports as well.

As you can see from all of these presentations, rather than trying to reform the current incentive program, the intention is to start over from scratch so that they can stay focused on the future and advance the values of the cooperative. Among the important points they made:

- Incentives program was established in 1985 when pricing was purely transactional.
- In spite of the move to the subscription model in 2006-2007, the incentives were virtually unchanged.
- Credits amount to about $20 million annually (in FY 2011: 43% original cataloging, 34% cataloging record enhancements and holdings deletions, 20% resource sharing lending, 3% library schools).
- Credits are both earned by member *and funded by members*.

The focus group discussions currently underway are exploring a variety of questions with members, including the value of the current incentives program, how it affects decision-making at the library, how the program should be changed to reflect the changes in library management, and the changing needs of OCLC member libraries. ***No decisions have been made at this time, and any changes that are made***

will take into account the input and feedback of member libraries. All discussions on the topic on OCLC-CAT are shared with the Advisory Group, and the task force continues to welcome comments at IncentiveProgramInput@oclc.org.