BCC2011/Auth/1

MLA Liaison Report to BCC from the ALA Midwinter Meetings
San Diego, CA, January 7-11, 2011

LITA/ALCTS—CCS Authority Control Interest Group (ACIG)
Sunday, January 9, 2011, 1:30-3:30PM

The presentations are available on the ACIG page on ALA Connect (http://connect.ala.org/node/107447)

1. LC Update to the Authority Control Interest Group – by Janis L. Young, Policy and Standards Division (PSD), Library of Congress (LC)

General Information

• In August 2010, LC requested comments on the proposal to change Dept. in headings to Department. The change was generally well-received, but there was no clear mandate to make the change, so Dept. will remain in headings in its current form.
• The romanization table for the language Vai was approved and is available online at the ALA-LC Romanization tables homepage (http://www.loc.gov/catdir/ cpso.roman.html). It will be published in Cataloging Service Bulletin no. 128. Proposals for the revision of the Thai table will also be published in this issue.
• The Virtual International Authority File (VIAF) now has names from 18 national libraries and research institutions, with test records under review from 3 other libraries. In August 2010, corporate name data from some institutions was added to VIAF.
• Bob Hiatt of PSD has retired, after serving for 42 years at LC, with 19 of them in PSD.

Subject Authorities

• The Annotated Card program for more in-depth treatment of juvenile subjects and assignment of headings has been renamed the Children's and Young Adults Cataloging Program. The use of juvenile headings has remained unchanged.
• Cookery/Cooking: In June 2010, the word Cookery in LCSH was changed to Cooking. Instruction sheet H1475 of the *Subject Headings Manual* (SHM) was revised to reflect the change. 788 subject authority records were updated and over 40,000 bibliographic records were revised, with 60,000 bibliographic records to go. Each heading is being revised manually. Additionally, the new genre/form term Cookbooks has been added to the *Library of Congress Genre/Form Thesaurus* (LCGFT).
• Validation records have been created for over 80,000 subject heading strings, based on if the string in question appeared over 20 times in the LC bibliographic file. A second pass through the file will hopefully create more validation records.
• 072 pilot project: PSD will add a 072 field to existing subject heading records in the first half of 2011. It will contain the instruction sheet number in the SHM governing the usage of the term in pattern and free-floating subdivisions. The field is intended to be reciprocal with the 073 field present in subdivision authority records, which indicates the instruction sheet governing the usage of the subdivision. It is hoped that this will provide a way of validating subject strings, so that if the same instruction number is present in both the subject heading record and the subdivision record, the string will be validated. It is also hoped that a computer would be able to provide subdivision suggestions, based on the subject heading chosen. One of the main obstacles which must be overcome in this project is that the SHM was written for humans, not computers. There are subdivisions which would obviously not be used with certain subject headings, even though they are in the same instruction sheet (Ms. Young used as an example the subdivision Aerial operations which obviously not apply to the heading for the Thirty Year's War). There are also exceptions to the general rules within the instruction sheets. Another obstacle would be the manpower needed to code tens of thousands of existing subject authority records.
• The Authorities and Vocabularies Service is a SKOS-based system which provides access to code lists, subject headings, and other terminologies. The service is exploring links to translations of LCSH. The general population may also suggest terms to be changed or added to Authorities and Vocabularies by going to http://id.loc.gov.

• LC will be implementing a new heading proposal system in the first half of 2011 which will allow for the proposal of headings for LCSH, LCGFT, and *Children's Subject Headings*. It will based on the model currently in place for the Classification Proposal System, with the usernames and passwords to be the same in each system.

Genre/Form Authorities

• Genre/form terms will now be in the *Library of Congress Genre/Form Thesaurus* (LCGFT), a separate product from LCSH. A new MARC source code (lcgft) has been created and authority records for LCGFT terms will have a LCCN with the prefix "gf". The revised authority records with the new LCCN prefix will be issued no earlier than March 2011
• Cartography genre/form headings were implemented in September 2010. SACO proposals for new terms are being accepted.
• In early 2011 LC will implement genre/form terms for law. Approximately 80 terms were approved on November 3, 2010 in partnership with the American Association of Law Libraries (AALL). PSD is seeking partners to develop genre/form terms for religious law
• The music genre/form project in partnership with MLA is still underway. Over 800 terms have been approved. As the project continues, one of the large issues concerns where medium of performance terms should reside (LCSH or LCGFT) and how they should be coded in MARC bibliographic records.
• PSD is collaborating with the American Theological Library Association (ATLA) in developing religious genre/form terms

2. The U.S. RDA Test: Status and Next Steps – by Beacher Wiggins, Director for Acquisitions and Bibliographic Access, LC

The Library of Congress, the National Agricultural Library (NAL), and the National Library of Medicine (NLM), along with 24 other institutions, including the MLA-OLAC funnel, has completed the second phase of the National Libraries' RDA Test. This phase, which began on October 1, 2010, involved the creation by each institution of 25 common records using both AACR2 and RDA and the creation of an extra set of records of materials commonly collected by each institution, using only RDA. Copy cataloging using RDA was also tested by some of the institutions. Authority records were also created for both the common and extra set, if authority work was part of an individual cataloger's workflow.

For the test, LC in consultation with the PCC, reviewed the *Library of Congress Rule Interpretations* (LCRIs). Of the 500 LCRIs which existed prior to the test, only 200 were kept and renamed *Library of Congress Policy Statements* (LCPS) and were incorporated into the *RDA Toolkit*, the online ALA product for accessing RDA.

In developing the parameters of the test, a conscious decision was made to conduct the test in a real environment, even though inevitable complications would ensue, especially as it concerned RDA records being present in the OCLC database and the creation of new authority records using RDA and the modification of existing AACR2 authority records. If an existing AACR2 authority record needed modification, all changes were made in a 7XX field, leaving the existing heading alone. If a new heading was needed, the authority record was created from scratch, even if the resultant heading "disagreed" with other similar headings.

The RDA test ended on December 31, 2010. The 27 participants created over 9,000 original MARC bibliographic records and over 13,000 authority records, all using RDA. Survey instruments detailing the experiences of the testers were filled out for each record and will be used in conjunction with the records created to evaluate the results of the test. As of this time LC, NAL, and NLM will cease creating records in RDA until a decision on implementation has been reached, although 5 of the test participants have determined that they will continue to create bibliographic records in RDA. LC and the other national libraries will continue to accept RDA records as part of their copy-cataloging workflow. OCLC will also allow libraries to submit cataloging in RDA to the Worldcat database, but will not allow parallel AACR2 and RDA records to exist for the same resource. PCC libraries may also create RDA cataloging, but must use AACR2 headings. LC copy-catalogers that use RDA records will continue to use AACR2 headings in RDA records if authority records for them exist, but will create RDA authority records for headings if no AACR2 authority record is available. The NLM and NAL will keep any RDA records they encounter in "pure" RDA and will not use

AACR2 constructed headings.

To assist in tabulating the results of the test, the national libraries may contract with on outside vendor. After the tabulation, a report will be made to LC/NAL/NLM senior management by March 31, 2011. A decision on implementation will be made by the June 2011 ALA Annual Meeting. The possible decisions include: no implementation of RDA, postpone the implementation until changes are made to RDA, implement RDA as is, or implement with specific changes and/or policy decisions. If implementation is the decision, guidelines for the transition will be issued, especially as regards the disposition of RDA headings in the 7XX fields of authority records and what AACR2 authority records will be considered RDA-compatible. In any case, even if the national libraries do not implement RDA, libraries will be operating in a mixed bibliographic environment for the foreseeable future.

Business Meeting

• ACIG will hold elections for new officers at ALA Annual 2011. Positions to be elected include Chair-Elect (3-year term), Secretary (2-year term), and Members-at-large for subjects, series, and names (2-year terms), plus a one-year term to fill the vacancy caused by the resignation of the Member-at-large for form/genre. Information about the positions will be posted on ALA Connect.
• The position of ACIG Web Coordinator will be a two-year term position, appointed by the ACIG Chair. Attendance at ALA is not required, but membership in either ALCTS or LITA will be mandatory.
• Discussion occurred about the content of the next ACIG Meeting at ALA Annual 2011 in New Orleans. Ideas included: the ethics of authority control, especially as it concerns privacy; retrospective assignment of genre/form headings; the impact of RDA on authority control; the impact of RDA and Worldcat Local on cooperative authority control; and the future of NACO
• Business Meeting adjourned at 3:25PM

Submitted by Damian Iseminger, Chair, Authorities Subcommittee

Online Audiovisual Catalogers (OLAC) Cataloging Policy Committee (CAPC) Meeting
Friday, January 7, 2011, 7:30-9:00PM

Reports and Discussions

• MARBI Report – given by Catherine Gerhart, MARBI Liaison, University of Washington. For details of the MARBI meeting at ALA Midwinter, please see the report from Bruce Evans, MARC Subcommittee Chair, BCC.
• CC:DA Report – given by Kelley McGrath, CC:DA liaison, University of Oregon. CC:DA is beginning to consider proposals for revisions of RDA, but there is nothing in the works that would affect the cataloging of AV materials. For details of the CC:DA meeting at ALA, please see the report from Mark Scharff, Descriptive Cataloging Subcommittee Chair, BCC.
• Video Language Coding Best Practices Task Force Report – given by Kelley McGrath. The final proposal of the Task Force concerning the explicit coding of the original language in subfield h of the 041 is on the MARBI agenda as a proposal. MARBI wants more work done on the proposals in Discussion Paper No. 2010-DP05 to change the definitions for coding languages for moving image materials, especially as it relates to the coding of sign language and intermediate translations. Once the above issues are worked out, a final edition of the Task Force report should be able to be issued.
• LC Genre/Form Headings for Moving Images Best Practices Task Force Report – given by Susan Wynne, University of Wyoming for Scott Dutkiewicz, Clemson University. A new draft of the report has been issued, reorganized and updated based on comments received. An outstanding issue remains with terms for Internet moving images and the task force is undecided as to which terms to use. There are three terms to consider: Internet videos, Podcasts, and Webisodes. The original approach of the Task Force was a "piece-in-hand" approach for this type of content. For example, if a DVD was being cataloged that contained content originally released on the Internet, no genre/form term indicating Internet content would be used. The concept of Webisodes has thrown this approach into turmoil, because there is an argument to be made that a user could reasonably search for content as Webisodes that

might now be on DVD or some other format. The discussion that followed liked the usefulness of Podcasts and Webisodes as original format terms and because they can function at the work or expression level. The discussion concerning the term Internet videos touched on themes of whether the term is really a genre/form term or is a distribution term, the potential confusion to users that see the term Internet videos used on a bibliographic record of a DVD containing videos originally found online (the user may think the record is a link to online content), and the need to be consistent versus the pragmatic needs of catalogers and users. After the discussion, the terms Podcasts and Webisodes were considered to be valid terms by the group for describing original content irrespective of the manifestations on which the content has appeared and the the term Internet videos should still be governed by a "piece-in-hand" approach, recognizing that this is an exception to current practice. Further discussion will be needed for additional terms that can describe born-digital content.

• Audiovisual Materials Glossary Update Task Force – report to be emailed by Heidi Frank, New York University Libraries to CAPC.

• RDA Testing – given by Kelley McGrath. The OLAC-MLA funnel has completed its work for the National Libraries' RDA test. 22 individuals participated in creating 25 common set records using both RDA and AACR2, with an additional 80 records created for music and AV materials using RDA. The next three months will be a data analysis period, after which an announcement on implementation will be given by the national libraries in June 2011. The funnel is generally in favor of moving forward with implementing RDA, despite issues surrounding the cost, time, and effort of transitioning to RDA, problems with individual rules as it concerns AV materials, and the compatibility of MARC21 with RDA. Discussion of the test at the meeting focused on the concept of expression access points, specifically on the lack of guidance in construction and whether expression access points should be for specific or types of expressions.

• Genre/Form Headings Report – given by Janis L. Young, Library of Congress. For genre/form details, please see the report from Hermine Vermeij, Subject Access Subcommittee Chair, BCC.

Old Business

• BIBCO Standard Records for Projected Visual Materials, Non-Projected Graphic Materials, and Electronic Materials – given by Walter Walker, Loyola Marymount University. The BSRs for these group of materials were approved by the PCC and implemented on October 1, 2010. They are available on the BIBCO Website, along with the final report of the Task Force. The group this past summer also worked on supplemental guidelines for e-monographs. Review of the document will be complete by January 17, 2011.

• Moving Image Work Grant – given by Kelley McGrath. The OLAC Board agreed to fund a prototype discovery interface based on FRBR for moving images. The prototype is available at http://blazing-sunset-24.heroku.com/ and comments are welcome.

Submitted by Damian Iseminger, Chair, Authorities Subcommittee

Last updated January 5, 2011